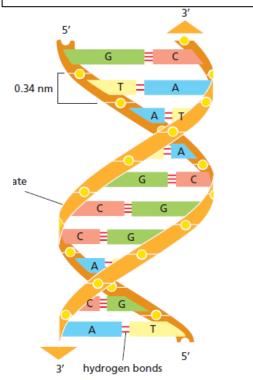
Актуальные проблемы анализа данных NGS-секвенирования

Анна Аксенова, к.б.н.

Human genome

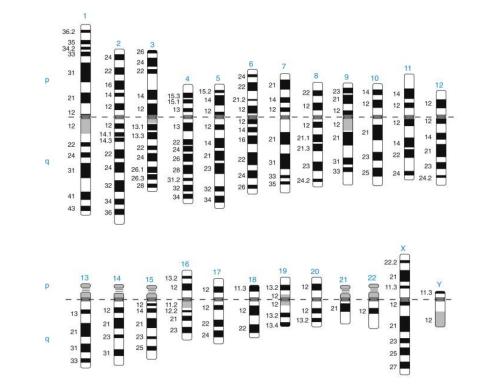
Four-letter alphabet: A, T, G, C Double helix: Forward and reverse strands

(two directions!!!)



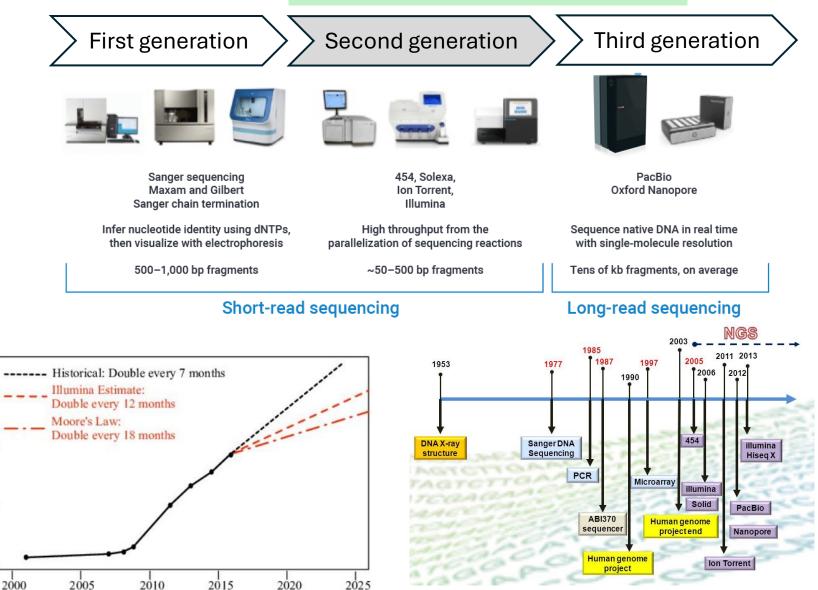
3.2 billion base pairs (~ 2 meters unpacked)

46 chromosomes: 22 pairs of autosomes and X, Y



Sequencing epoch

Next generation sequencing (NGS)



https://www.pacb.com/blog/the-evolution-of-dna-sequencing-tools/

1e09

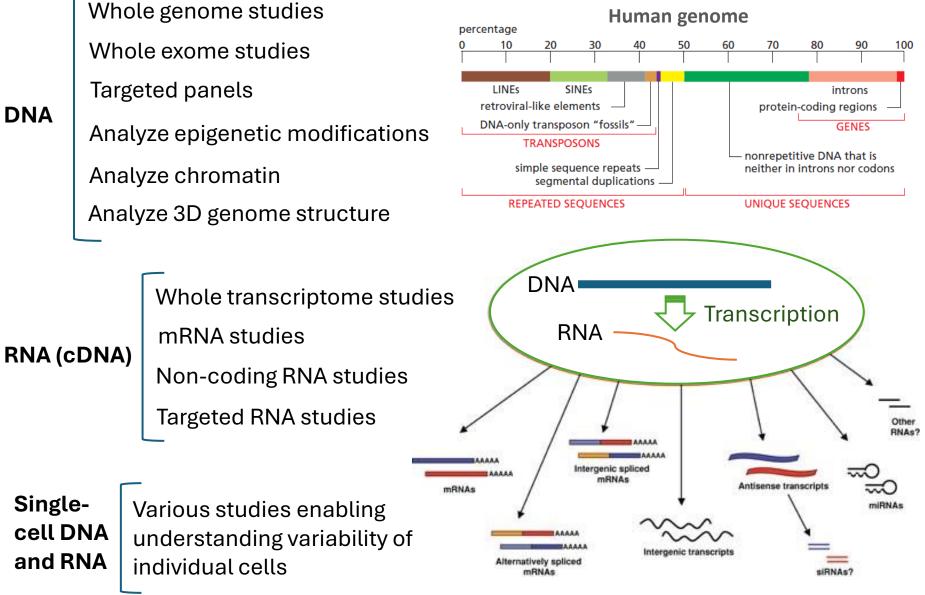
1e06

1e03

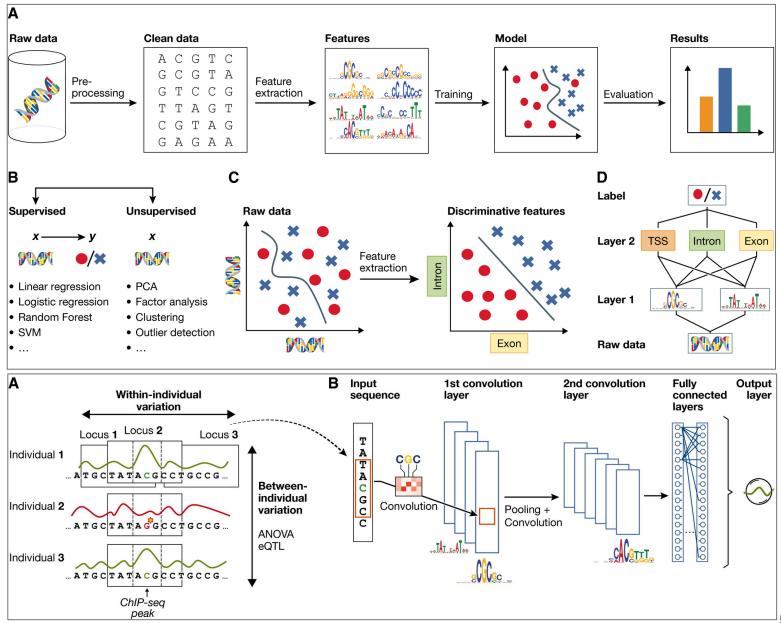
1e00

Sequenced Genomes

What do we sequence?

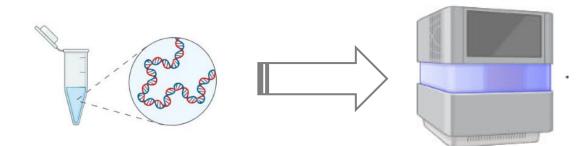


A new routine...

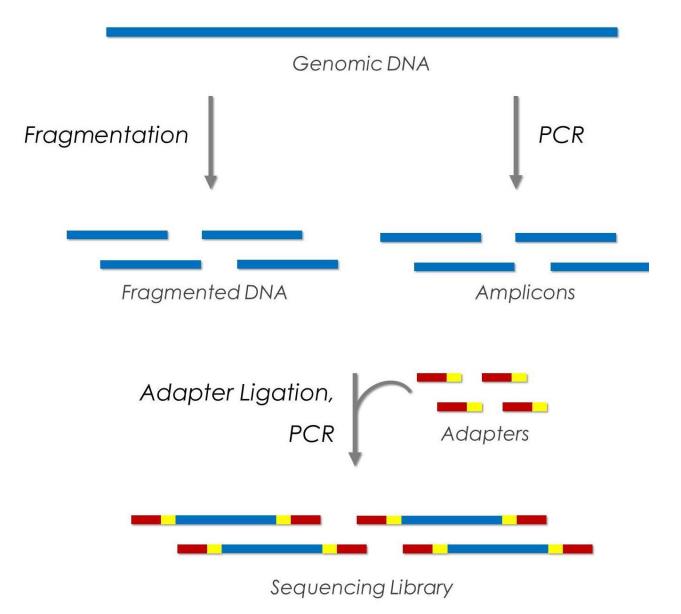


https://doi.org/10.15252/msb.20156651

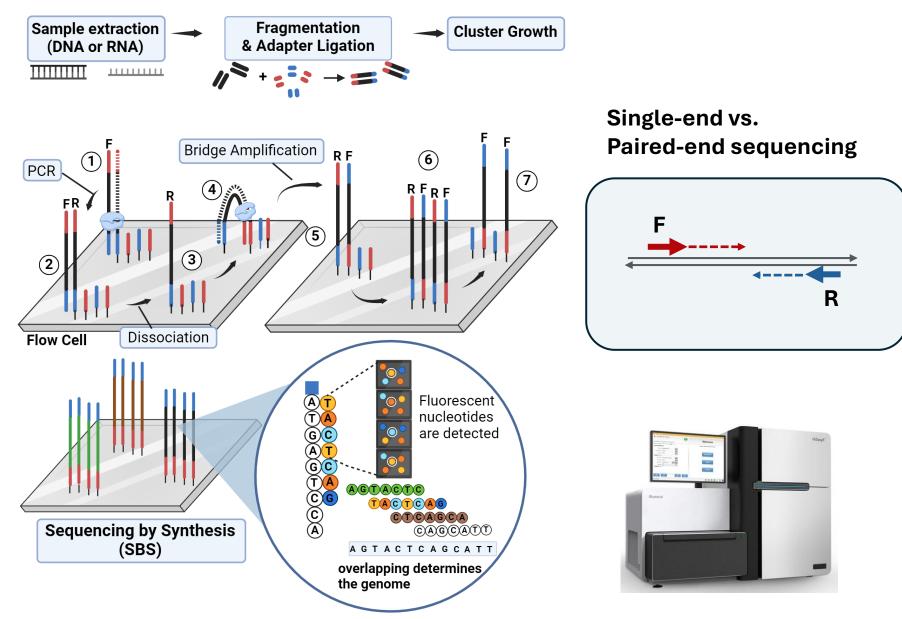
Few slides about technology...



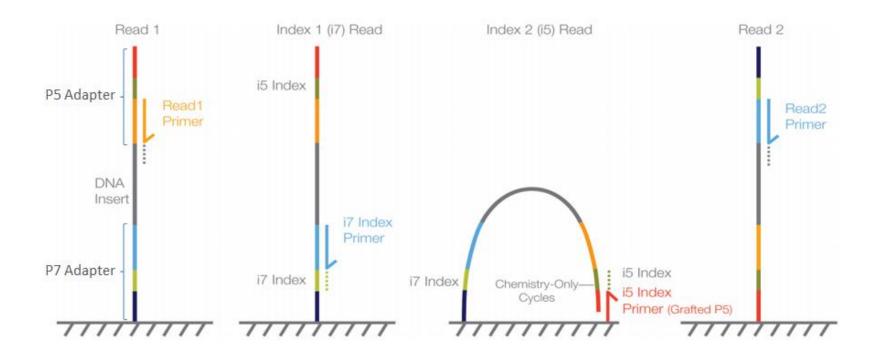
How do we sequence DNA (technology)

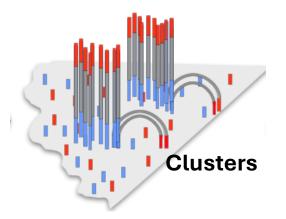


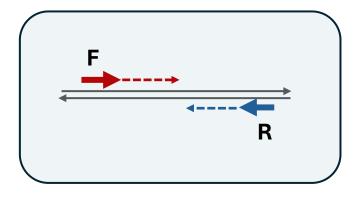
NGS Sequencing technology (Illumina platform)



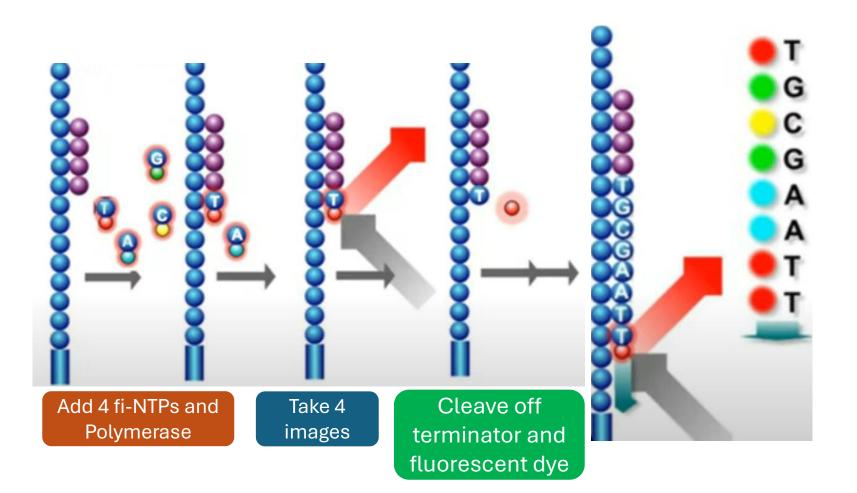
Paired-end sequencing technology (Illumina platform)



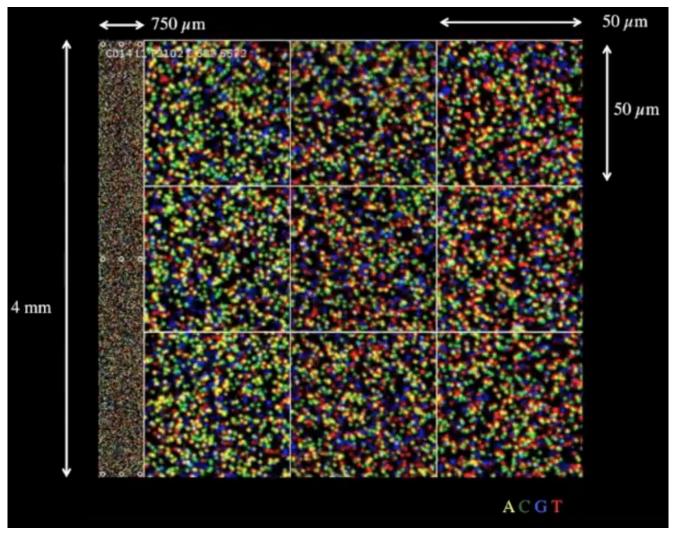


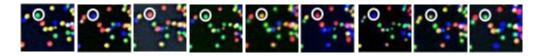


Four channel chemistry in NGS sequencing

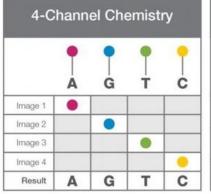


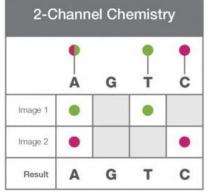
Illumina four-color sequencing by synthesis

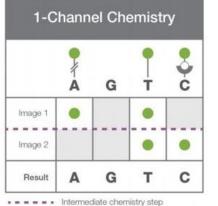




Different chemistry and different cells = different errors







Quality?

Four-channel SBS

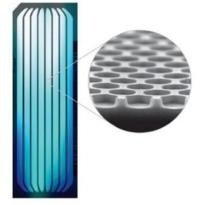
 Bases are identified using four different fluorescent dyes, one for each base and four images per sequencing cycle

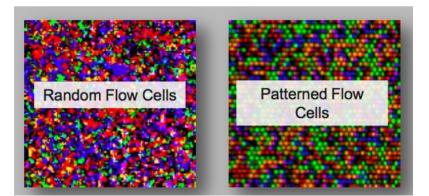
Two-channel SBS

 Simplified nucleotide detection by using two fluorescent dyes and two images to determine all four base calls

One-channel SBS

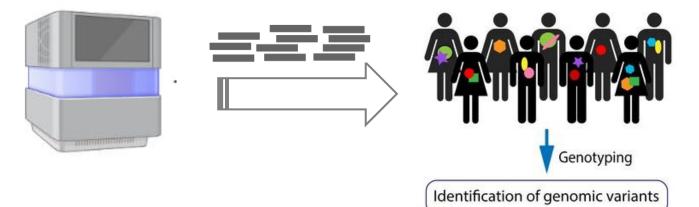
 System uses a patterned flow cell with nanowells fabricated over a CMOS chip to determine base calls using only two images





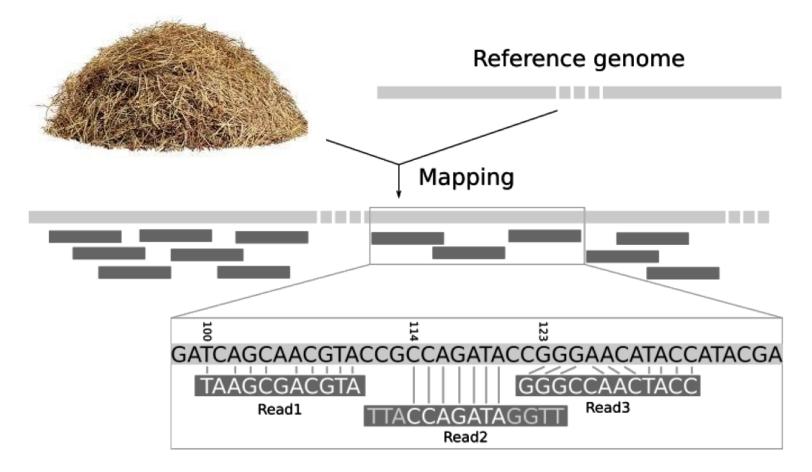
And there are other technologies too...

How do we make sense of the reads?

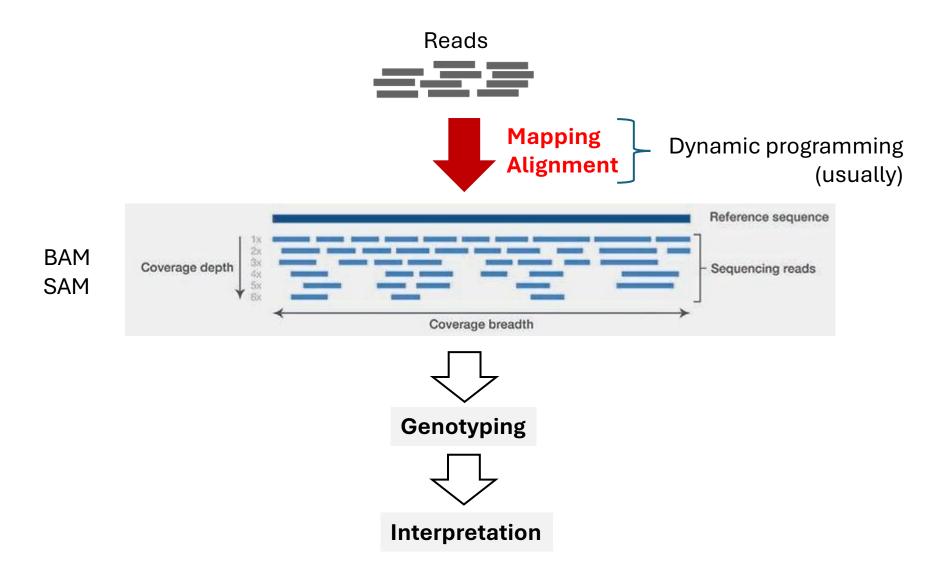


The task of mapping...

Hundreds billions of reads (100-150 length each, raw)



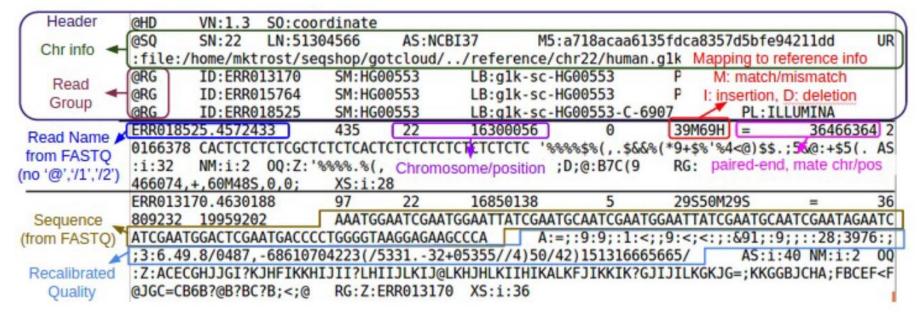
Finding the best position for every read in the reference string



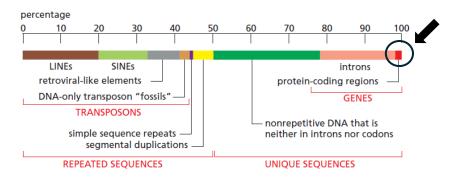
Coverage fig from: https://www.thermofisher.com/es/es/home/life-science/cloning/cloning-learning-center/invitrogen-school-ofmolecular-biology/next-generation-sequencing/ngs-data-analysis-illumina.html

SAM file which tells us about fate of each read after alignment

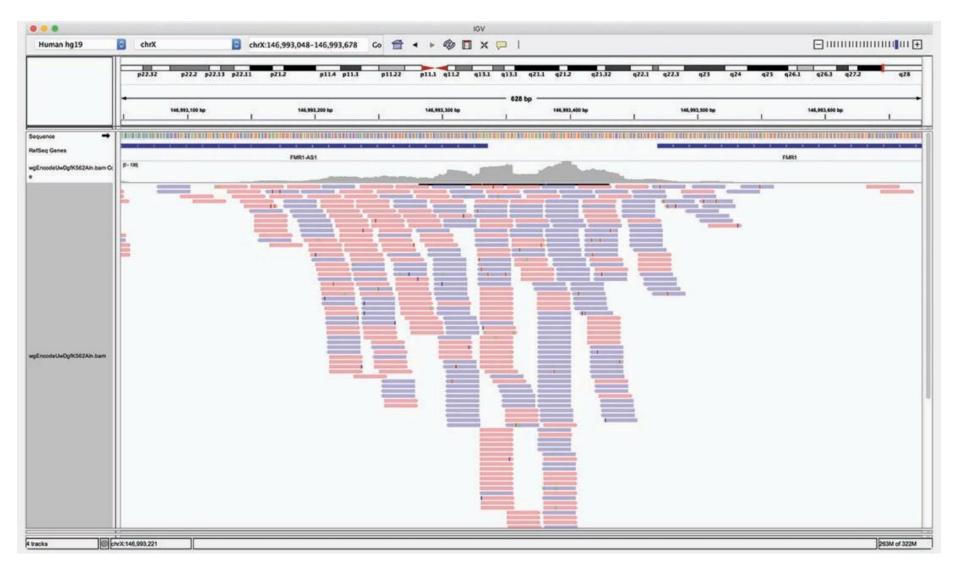
Output for one read



SAM files are often very big:100-500+ Gb Even for exome

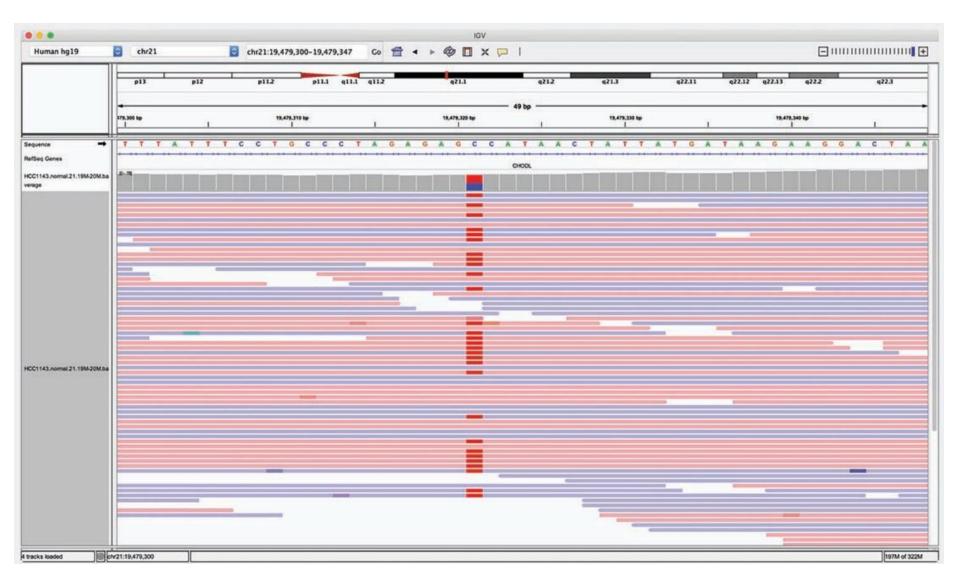


What do we get as a result of mapping?



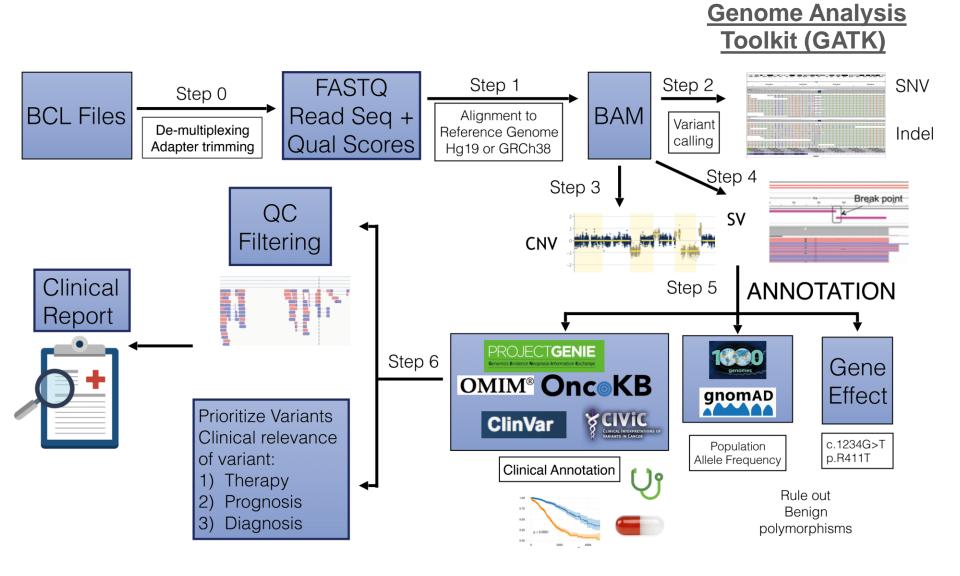
IGV browser helps to view alignment results

A closer look



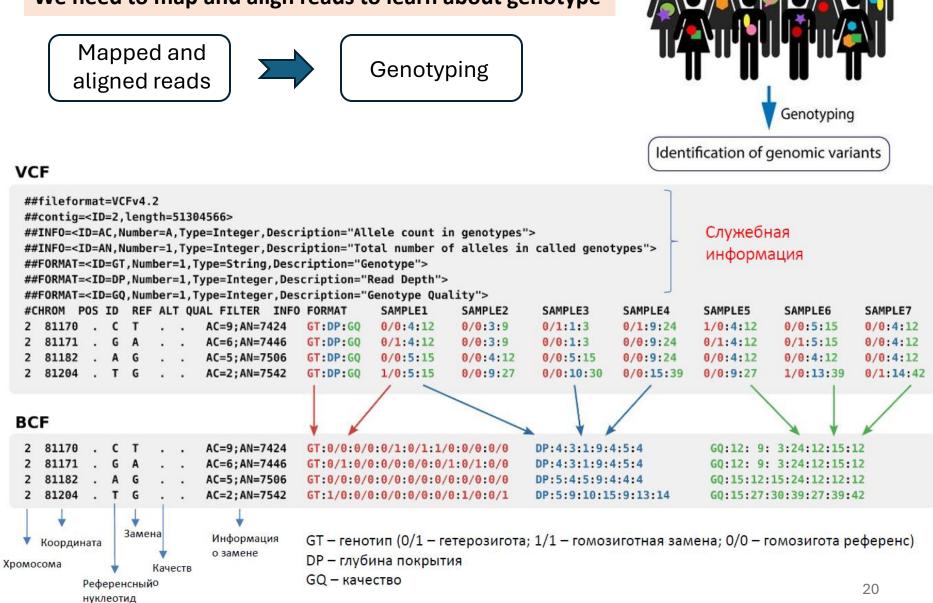
IGV browser helps to view alignment results

Pipelines are important

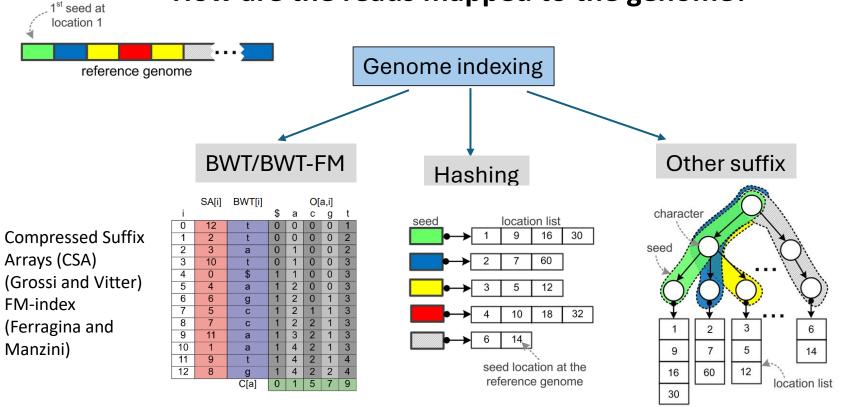


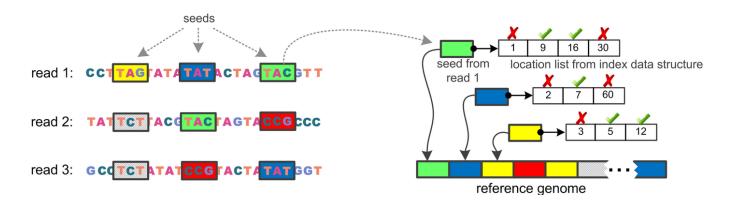
Genotyping results

We need to map and align reads to learn about genotype



How are the reads mapped to the genome?





Modified from: https://doi.org/10.1186/s13059-021-02443-7

How are the seeds found in the reference?

The algorithm behind the calculation of seeds in *BWA-MEM* depends on the FM index, a data structure introduced by Ferragina and Manzini.

FM-index allows searching for any given pattern P in a collection of text in O(|P | log n + occ log2 n) and occupy O(n) bits BWT/FM index utilizes the underlying properties of the *Burrows Wheeler Transform* introduced by Burrows and Wheeler and Lastto-first mapping

Table 1: A step of the pattern matching algorithm. On the left, 2 characters (cc) have been processed to give the range from s_2=7 and t_2=9. The next character is c=s_1=T. There are C[T]=16 characters <T, 2 T's before

the start of the interval, and 3 T's before the end of the interval. Thus, the new interval (shown on the right) is

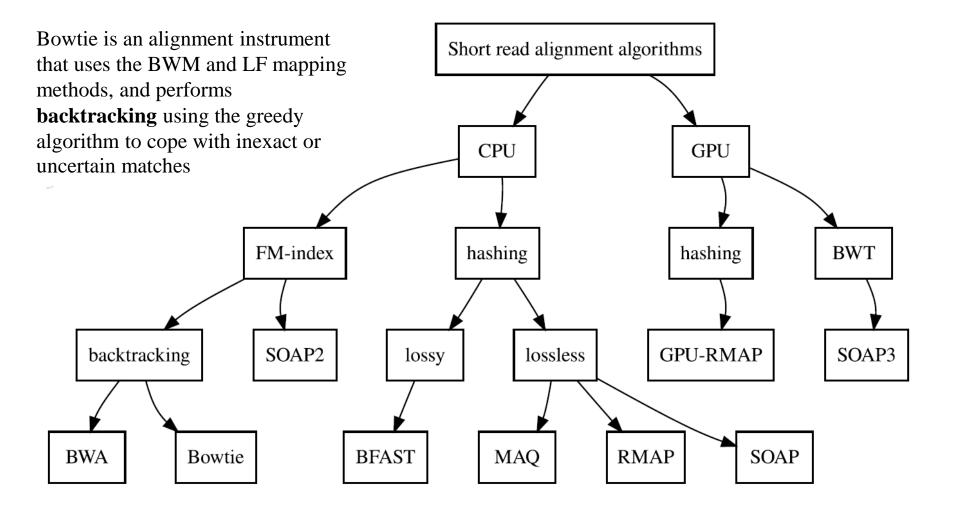
\$ A C G T i 0 1 2 3 4 5 6 7 8 9 1011121314151617181920 \$ A C G T i 0 1 2 3 4 5 6 7 8 9 1011121314151617181920 σ σ S G T C C C G A T G T C A T G T C A G G A S 0 1 5 1016 S G T C C C G A T G T C A T G T C A G G A S C(σ) C(σ) 0 1 5 10 16 iΑ F А 0 20 **\$** G CCCGAT GTCA TGT CAGGA Occ 0 0 0 0 0 0 20 \$ GITIC C С GA TGTCAT GTCAGGA Occ 0 0 0 0 0 G 1 19 1 19 CGA Т А С С G С А AGG С Α G C G 0 1 0 0 0 A G G 0 0 0 GTCC CGA CCGATG CA 2 16 G TIGITIC A TGT 0 1 0 2 16 G Т С Т TGTC 0 \$ 3 11 G С Α GGA GΤ С CC G А Т G 1 1 0 3 11 GIT C А G G А \$ GΤ С С С GA G 1 0 4 6 TG С А G G 2 1 0 4 6 G AT G Т CAGGA \$ G A S G C 0 2 1 0 5 15 s C A 220 5 15 GGASG Т С С CGAT G G GA GT С CGA TG G 2 2 0 6 10 AG G G G А \$ 2 2 1 6 10 G 2 1 CC G G 2 72 C GA GTC ATGTCA G G А 222 72 s GATG CA G Т С А GGA 2 22 G G GTCA Т 83 С А ТΙ GΤ С AG G А \$ G 223 83 G 2 23 94 t C GΑ 94 G GG 3 2 3 G GIT C Α G G 3 23 1018 t GA 423 G C С G А Т G CA G 1018 А С С С GA G Т С A 23 0 G 4 11 5 Т ATG Т CA 4 3 3 11 5 G G G GA G С А Т GT CAG A 3 3 \$ 0 G С 4 1217 G C С С G А Т G Т G 5 3 3 1217 G С С С G A G Т С 5 3 3 5 3 3 1313 GT С 1313 G G G С С G C С 5 3 3 14 8 G GΑ G GT CA \$ G С С С G 5 3 4 148 G Т С AGG A \$ С G 5 3 4 GT С Т С А 5 3 5 GT G 15 0 С GAT G GT С A G G 2 15 0 C G А С A Т GA 5 35 A G 1614 1614 G \$ G Т С С С GAT G 5 35 G \$ G Т С С C GA G 5 3 5 179 А G CA G G A \$ G C С С G 545 179 С G С Α GGA \$ GT С С 2 2 5 4 5 18 1 С G TGT CAT 18 1 С TCATGT С GTCA G G 5 55 С G Т G AGG 5 5 A 5 CAGGA\$GTCCCGAT G 1912 CAGGA\$GTCCCGAT 65 1912 G 5 65 G G С 5 T G T C A T G T C A G G A \$ G T C C C TGTCATGTCAGGA\$GTCC 20 7 С G 3 5 65 207 GA 3 5 65 Update s[i-1] = C[c] + Occ[c][s[i]]1 4 5 6 5 Update s[i-1] = C[c] + Occ[c][s[i]]4 5 6 5 С С GTC QCC GT QC s 7 5 0 s 5 0 t 1021 9 1021

from $s_1 = 16 + 2 = 18$ to $t_1 = 16 + 3 = 19$.

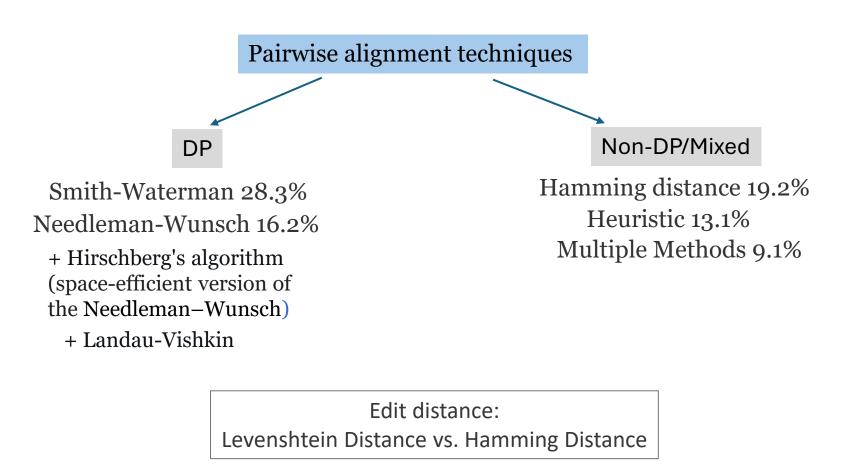
https://curiouscoding.nl/posts/bwt/

DOI: <u>10.13140/RG.2.2.33045.19687</u>

Different implementations on CPU and GPU



Seed extension and alignment



Types of paired alignment:

Pair global

A A G A A A T A A G A A C A A A A G A A A T T - T G A C A A

Needleman-Wunsch

Pair local

T G G T C T G T T C G A C C A T A A A A A T C T T A C A T G A T T C T G T T C G A C C A T A A A A C T G T T C G A C C A T A A A A

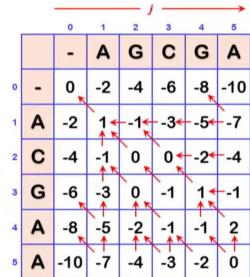
Smith-Waterman

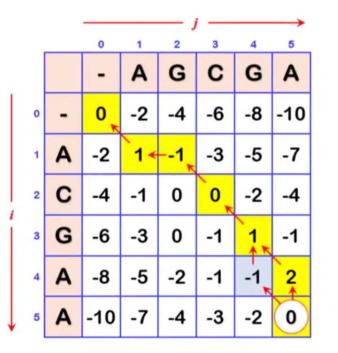
Numbers are from: https://doi.org/10.1186/s13059-021-02443-7

Needleman-Wunsch algorithm

The algorithm was developed by Saul B. Needleman and Christian D. Wunsch and published in 1970. Time complexity is O(mn) for sequences of m and n length.

$$M_{i,j} = max \begin{cases} \bigwedge \\ M_{i-1,j-1} + s(a_i, b_j) & s(a_i, b_j) = +1, \text{ if } a_i = b_j (Match) \\ \leftarrow & s(a_i, b_j) = -1, \text{ if } a_i \neq b_j (Mismatch) \\ M_{i,j-1} + s(a_i, -) & s(a_i, -) = -2, \text{ if } b_j = - (Insertion) \\ \uparrow & s(-, b_j) = -2, \text{ if } a_i = - (Deletion) \\ M_{i-1,j} + s(-, b_j) \end{cases}$$





Alignment 1:Alignment 2:A-CGAAA-CGAA| ||| ||AGCGA-AGCG-A+1-2+1+1+1-2 = 0+1-2+1+1-2+1 = 0Score Total = $\sum Score_{Match} + \sum Score_{Mismatch} + \sum Score_{Insertion} + \sum Score_{Deletion}$

Smith-Waterman algorithm

The algorithm was first proposed by Temple F. Smith and Michael S. Waterman in 1981. The main difference to the Needleman–Wunsch algorithm is that negative scoring matrix cells are set to zero. Can be optimized to O(mn) complexity for sequences of m and n length.

$$M_{i,j} = \max egin{cases} M_{i-1,j-1} + s(a_i,b_j) & \nwarrow \ M_{i,j-1} + s(a_i,-) & \leftarrow \ M_{i-1,j} + s(-,b_j) & \uparrow \ 0 \end{cases}$$

$$egin{aligned} &s(a_i,b_j) = +1, \ if \ a_i = b_j \ (Match) \ &s(a_i,b_j) = -1, \ if \ a_i
eq b_j \ (Mismatch) \ &s(-,b_j) = -2, \ if \ a_i = - \ (Insertion) \ &s(a_i,-) = -2, \ if \ b_j = - \ (Deletion) \end{aligned}$$

G

-

0 -

Α

С

G

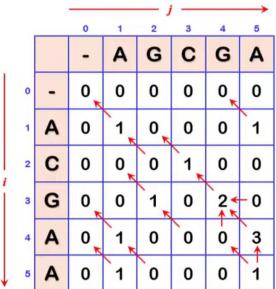
Α

Α

G

Α

С

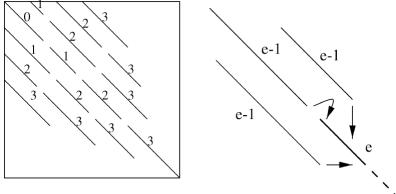


CGA ||| CGA

$$Score_{Total} = \sum Score_{Match} + \sum Score_{Mismatch} + \sum Score_{Insertion} + \sum Score_{Deletion}$$

Landau-Vishkin algorithm for Approximate String Matching

The parallel algorithm requires O(logm+k) using n processors The serial algorithm runs in O(nk) time for an alphabet whose size is fixed.



Ukkonen O(k2) algorithm:

Computes the edit distance.

The way to compute the strokes in diagonal transition algorithms.

The solid bold line is guaranteed to be part of the new stroke of *e* errors, while the dashed part continues as long as both strings match.

A recurrence on diagonals (d) and number of errors (e), instead of rows (i) and columns (j), is set up in the following way:

Dynamic programming matrix is computed diagonalwise (i.e. stroke by stroke) instead of column-wise.

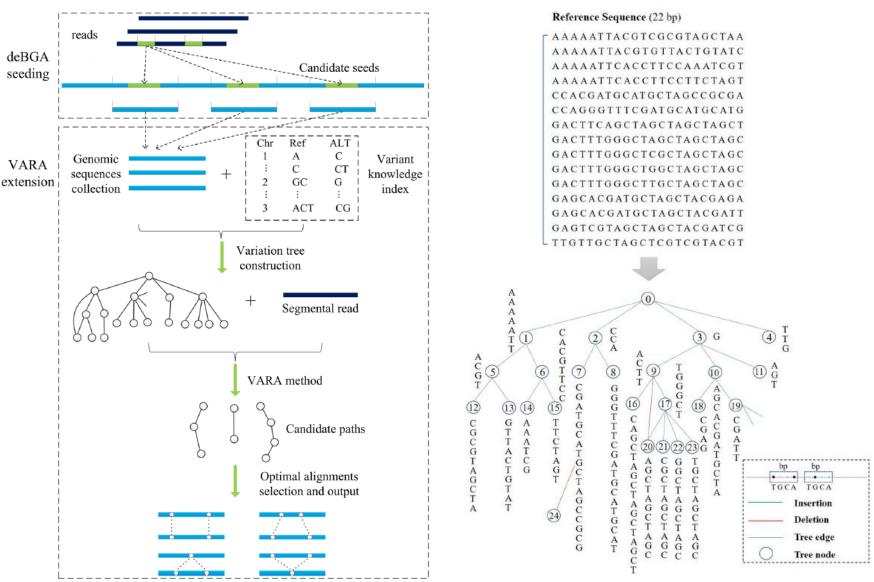
Landau-Vishkin algorithm:

	-3	-2	-1	0	1	2	3	4	5	6	7
0		0	3	0	0	0	0	0	0	0	0
1	1	1	4	5	3	1	1	1	1	1	1
2	2	5	6	6	6	3	2	3	2	2	2

The diagonal transition matrix to search "survey" in the text "surgery" with two errors. Bold entries indicate matching diagonals. The rows are e values and the columns are the *d* values.

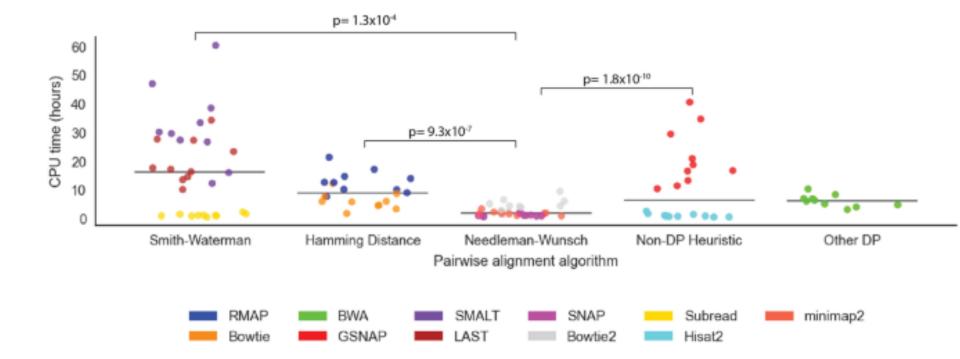
ACM Computing Surveys, Vol. 33, No. 1, March 2001, pp. 31–88 JOURNAL OF ALGORITHMS 10,157-169 (1989)

Variation-aware read alignments with Landau-Vishkin algorithm

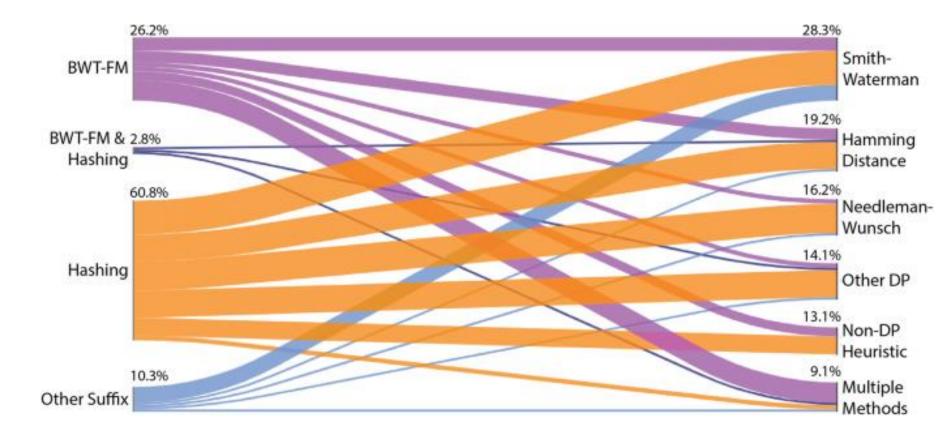


https://doi.org/10.1186/s12911-019-0960-3

Performance of different alignment algorithms on CPU



Combination of algorithms utilized by read alignment tools

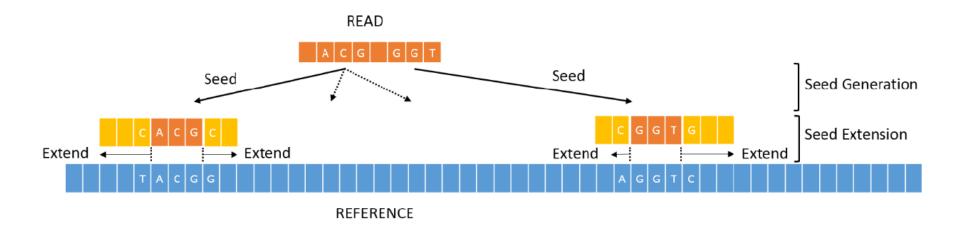


Based on studies of 107 read alignment tools that were designed for the shortand long-read sequencing technologies and were published from 1988 to 2020

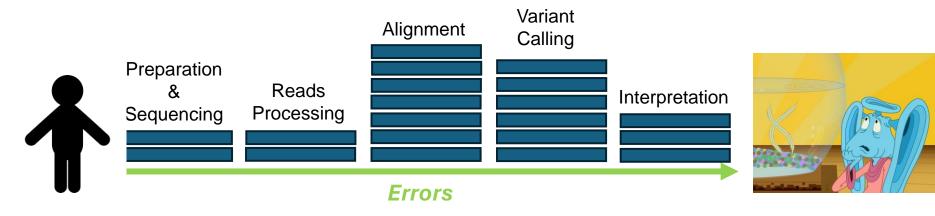
BWA-MEM Aligner

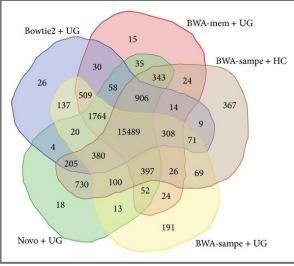
The conception of seeded alignment:

- Uses FM-index
- ➤ The seeds are maximal exact matches (MEMs).
- MEMs cannot be extended either forward or backward without creating a mismatch
- MEM can represent a super-maximal exact match (SMEM) if it is not contained in any other MEMs on the query sequence.
- The extension of SMEMs is performed using the Smith-Waterman dynamic programming algorithm.



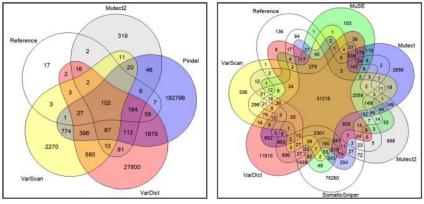
Influence of Different Alignment Tools on the Results





https://doi.org/10.1155/2015/456479

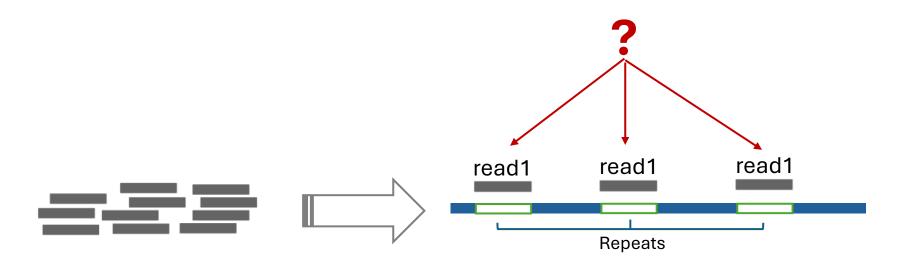
Somatic mutations

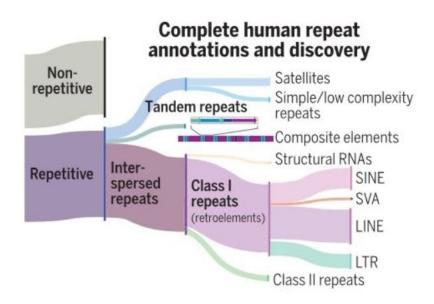


https://doi.org/10.1038/s41598-023-34925-y 32

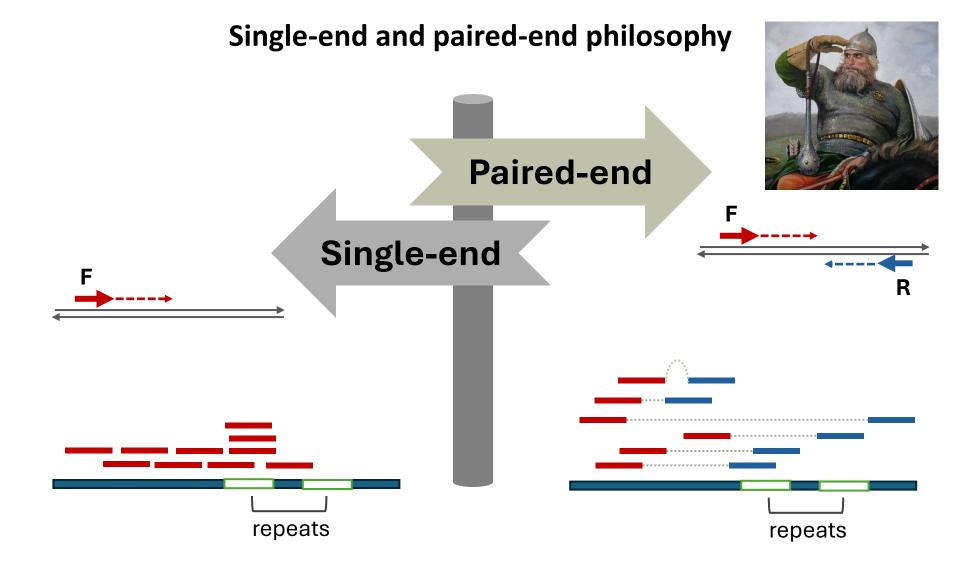
Several ambiguity problems...

1. Ambiguity of reference

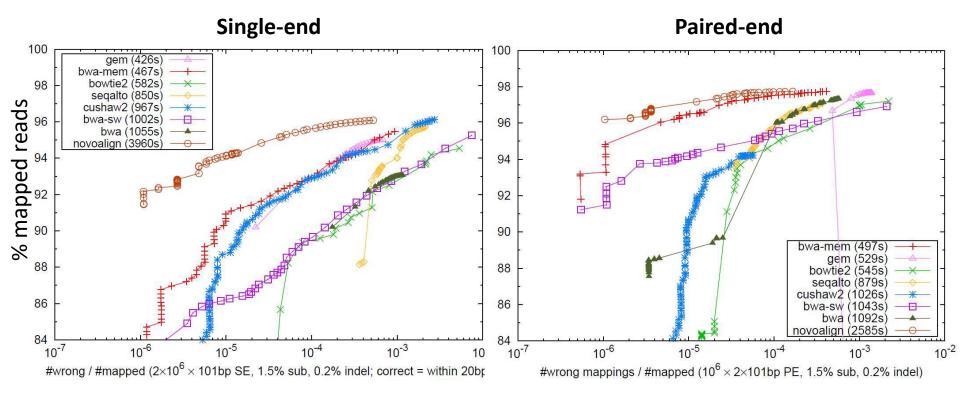




- SINEs 12.8%
- Retrotransposon 0.15%
- LINEs 20.7%
- LTRs 8.8%
- DNA transposons 3.6%
- Tandem Simple repeats 8% TOTAL ~54%.



Performance of various aligners on simulated short reads from human genome



From: Li (Broad Institute), http://arxiv.org/pdf/1303.3997v2.pdf

Most popular DNA aligners do perform paired-end

Software	Sequencing platform	Ability to perform gapped alignment	Quality awareness	Ability to align PE reads	Reference
BFAST	1,4	+	_	+	Homer <i>et al.</i> (2009)
Bowtie	I,4,Sa	-	+	+	Langmead et al. (2009)
Bowtie 2	I,4,Ion	+	+	+	Langmead and Salzberg (2012)
BWA	I,4,Sa	+	+	+	Li and Durbin (2009)
CloudBurst	non-specific	+	-	-	Schatz (2009)
GSNAP	I,4,Sa,Ion	+	-	+	Wu and Nacu (2010)
MAQ	I.	-	+	+	Li <i>et al.</i> (2008)
MOSAIK	I,4,Sa,Ion	+	+	+	NA
mrFAST	I.	-	+	+	Alkan <i>et al.</i> (2009)
mrsFAST	I. I.	-	+	+	Hach <i>et al.</i> (2010)
NextGenMap	I,4,Ion	+	-	+	Sedlazeck et al. (2013)
PASS	1,4	+	+	+	Campagna <i>et al.</i> (2009)
RazerS	1,4	+	-	+	Weese et al. (2009)
segemehl	I,4,Sa,Ion	+	-	+	Hoffmann et al. (2009)
SHRiMP	1,4	+	-	+	Rumble et al. (2009)
SHRiMP 2	1,4	-	+	+	David et al. (2011)
SOAP2	l.	+	-	+	Li <i>et al.</i> (2009b)
Stampy	I.	+	+	+	Lunter and Goodson (2011)

Abbreviations: I, Illumina; Ion, Ion Torrent; NA, no publication available; NGS, next-generation sequencing; PE, paired end; Sa, ABI Sanger; 4, Roche 454. Information obtained from http://www.ebi.ac.uk/~ nf/hts_mappers/ (last accessed August 2016). Popularity was assessed by the number of citations of the software.

2. Ambiguity of alignment

 CTTTAGTTTCTTTT---GCCGCTTTCTTTCTTCTTCTT

 CTTTAGTTTCTTTT---GCCGCTTTCTTTCTTCTTCTTCTT

 Reads

 CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTAAGTCTCCCTC

 CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTAAGTCTCCCTC

 CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTTTTTAAGTCTCCCTC

 CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTTTTTAAGTCTCCCTC

 CTTTAGTTTCTTTGCCGCTTTCTTTCTTTCTTTTTTAAGTCTCCCTC

But we can try to shift things around a bit:

Reads

CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTT CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTCTT CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTTAAGTCTCCCTC CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTTTAAGTCTCCCTC CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTTAAGTCTCCCTC CTTTAGTTTCTTTTGCCGCTTTCTTTCTTTCTTTTTTTAAGTCTCCCTC For these reads, aligner preferred to make a few SNPs rather than insertion

For these reads, insertion was a better choice

Aligner, like BWA, works on one read (fragment) at a time, does not see a bigger picture...)

This looks better !

Only seen after aligning all (at least some) reads!

SNP callers can reevaluate alignment

Software	Method	Sample	Reference
Atlas-SNP2	Bayesian	Single	Challis et al. (2012)
CRISP	Testing	Pooled	Bansal (2010)
Dindel	Hidden Markov model	Pooled	Albers et al. (2011)
FreeBayes	Bayesian	Multiple	NA
GATK	Bayesian	Multiple	McKenna <i>et al</i> , (2010)
			DePristo et al. (2011) Van der
			Auwera et al. (2013)
QCALL	Bayesian	Multiple	Le and Durbin (2011)
SAMtools	Bayesian	Multiple	Li <i>et al.</i> (2009a)
SeqEM	Bayesian	Multiple	Martin <i>et al.</i> (2010)
SLIDERII	Counting	Single	Malhis and Jones (2010)
SNP-o-matic	Counting	Single	Manske and Kwiatkowski
			(2009b)
SNVer	Testing	Single and pooled	Wei <i>et al.</i> (2011)
SOAPsnp	Bayesian	Single	Li <i>et al.</i> (2009b)
SYZYGY	Bayesian	Pooled	NA

Abbreviations: NA, no publication available; SNP, single-nucleotide polymorphism. Popularity was assessed by the number of citations of the software.

3. Ambiguity of reads



Source of reads

=	3	=	
	?		\mathbf{i}

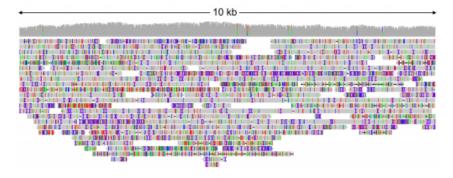


Reference genome

TABLE 4–3 Typical Differences Between Any One Human Being's Genome Sequence and the Reference Human Genome					
Type of difference	Size in nucleotide pairs	Differences per genome			
Single-nucleotide variation (SNV)	1	3–4 million			
Small deletion or insertion (indel)	1–49	0.4–0.5 million			
Low-complexity simple sequence repeats (microsatellite and satellite DNA repeats)	1–200	100,000			
Mobile-element insertion (SINE, LINE)	300–7000	2000			
Structural variation (deletions, duplications, and inversions)	50 to >1,000,000	Tens of thousands; length is inversely correlated with frequency			
Karyotypically visible abnormalities (e.g., aneuploidies)	Chromosome scale	Very rare; most are lethal			

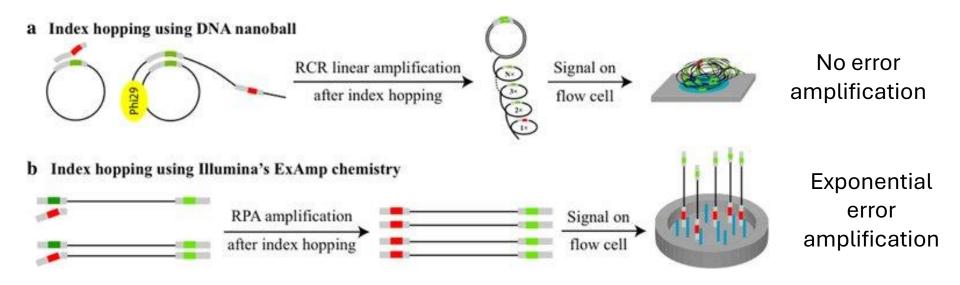
Courtesy of Greg Cooper and Rick Myers, HudsonAlpha Institute for Biotechnology, Huntsville, AL; based on H.J. Abel et al., *Nature* 583:83–88, 2020; gnomAD (https://www.nature.com/immersive /d42859-020-00002-x/index.html; and https://www.internationalgenome.org).

The problem get worse for the long reads where special tools were also developed



4. Ambiguity of letters

Errors arising during library preparation



+ Sequencing errors

Reads may contain errors!!!

What do we know about read quality of reads?

ENCODING EXAMPLE:

```
1 @M01072:41:00000000-A942B:1:1101:11853:2457 1:N:0:1

2 GTGCCAGCAGCCGCGGTAATACGTAGGTGGCAAGCGTTATCCGGATTTATTGTGC...

3 +

4 >>1>>11>11>>1EC?E?CFBFAGFC0GB/CG1EACFE/BFE///AEG1DF122A...

| | | | C \rightarrow E \rightarrow 36 Phred Quality Score (Q) \rightarrow 99.975 Base call accuracy (F

| \Box G \rightarrow 1 \rightarrow 16 Phred Quality Score (Q) \rightarrow 97.488 Base call accuracy (P)

\Box G \rightarrow > \rightarrow 29 Phred Quality Score (Q) \rightarrow 99.874 Base call accuracy (P)
```

→ Pos. #1 | Nuc. G | Character Encoding [>]
Q = ascii -s ">" | awk '{print \$2-33}' = 29
P =
$$100 - (10^{-2.9} * 100) = 99.874$$

→ Pos. #3 | Nuc. G | Character Encoding [1] Q = ascii -s 1 | awk '{print \$2-33}' = 16 P = $100 - (10^{-1.6} * 100) = 97.488$

→ Pos. #14 | Nuc. C | Character Encoding [E] Q = ascii -s E | awk '{print \$2-33}' = 36 P = $100 - (10^{-3.6} * 100) = 99.975$

Sequence quality: Phred quality scores, Q

$Q = -10 \log_{10} P$

Phred quality scores are logarithmically linked to error probabilities

.

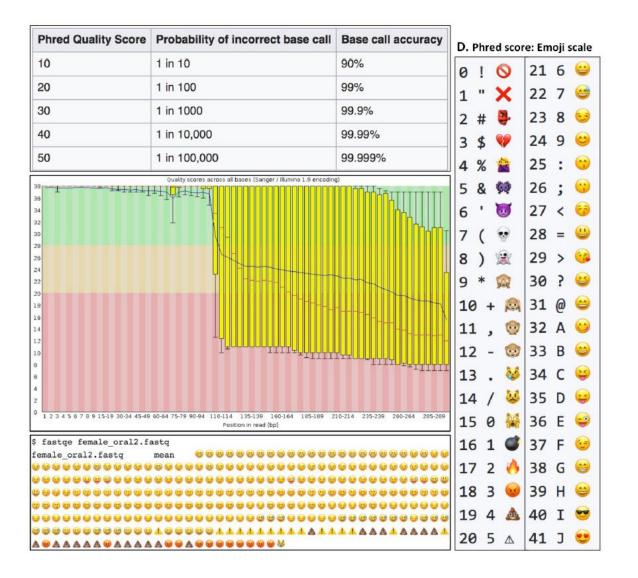
Phred Quality Score	Probability of incorrect base call	Base call accuracy	
10	1 in 10	90%	<i>P</i> = 10 ^{-Q/10}
20	1 in 100	99%	
30	1 in 1000	99.9%	
40	1 in 10,000	99.99%	An example of a base that has been given a very high Phred score of 50,
50	1 in 100,000	99.999%	indicating that there is 99.999% probability that this base has been
60	1 in 1,000,000	99.9999%	Correctly assigned.
			An example of a base for which no Phred score could be calculated,, since the sequencer could not determine which base was present (therefore, an 'N' wa designated in the sequence).
	Phred score 20 —		

The good, the bad and the ugly reads

Symbol	Phred	Error
ļ	0	1.000
H	1	0.794
#	2	0.631
\$	3	0.501
%	4	0.398
&	5	0.316
ı	6	0.251
(7	0.199
)	8	0.158
*	9	0.126
+	10	0.100
,	11	0.079
-	12	0.063
	13	0.050
/	14	0.040
0	15	0.032
1	16	0.025
2	17	0.020
3	18	0.016
4	19	0.013
5	20	0.010

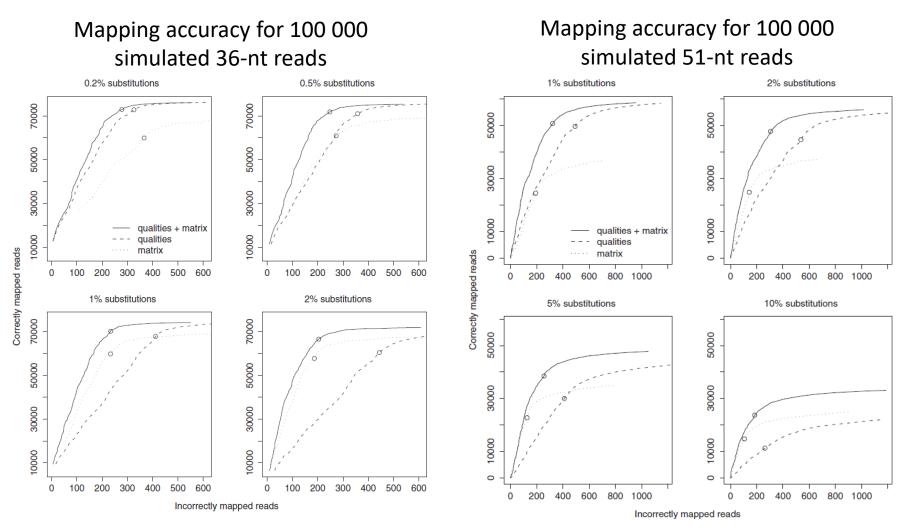
Symbo	Phred	Error
6	21	0.008
7	22	0.006
8	23	0.005
9	24	0.004
:	25	0.003
;	26	0.002
<	27	0.002
=	28	0.001
>	29	0.001
?	30	0.001
@	31	0.0008
А	32	0.0006
в	33	0.0005
С	34	0.0004
D	35	0.0003
Е	36	0.0002
F	37	0.0002
G	38	0.0002
н	39	0.0001
I	40	0.0001

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Incorporating sequence quality data into alignment



The reads differ from the genome by a certain rate of 'real' substitutions (0.2, 0.5, 1 or 2%) plus sequencer errors. Circles indicate a score cutoff of 150/or 180. Dotted lines show the accuracy when we model the substitutions but not the sequencer errors. Dashed lines show the accuracy when we model the substitutions. Solid lines show the accuracy for both.

Thank you!

